



## **STONE CREEK CAPITAL MANAGEMENT, LLC**

2799 NW 2dn Avenue, Suite 101  
Boca Raton, Florida 33487  
(888) 391-4563

A SEC Registered Advisory Firm<sup>1</sup>

### **Supervised Persons**

Daniel Tyler Vernon, CEO  
Timothy J. Ralph, COO  
Adam Rauscher, Senior Financial Advisor  
Justin Eccleston, Senior Investment Analyst

### **BROCHURE SUPPLEMENT, MARCH 2019**

This brochure supplement provides information about the investment advisory representatives that supplements Stone Creek Capital Management, LLC's ("Stone Creek") brochure. You should have received a copy of that brochure. Please contact Stone Creek at (609) 688-8701 if you did not receive Stone Creek's brochure or if you have any questions about the content of this supplement.

Additional information about the above-referenced Supervised Persons is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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<sup>1</sup> SEC or State registration does not and should not imply any certain level of skill or training.

## **DANIEL TYLER VERNON, CEO, CCO**

### **1) Educational and Business Background:**

Birth Date: 1977

Education:

Lafayette College, 1998, B.A. Economics and Business

Business Background:

Stone Creek Capital Management, LLC - Principal – 2017-Present

Biltmore Capital Advisors LLC – Principal & Chief Executive Officer – 2007-Present

Merrill Lynch, Pierce, Fenner & Smith, Vice President – 2000 – 2007

### **2) Disciplinary Information:**

Mr. Vernon *has not been* convicted, pled guilty or nolo contendere (“no contest”), been named, charged or been the subject of any order or judgment by any court of competent jurisdictions, SEC or any Self-Regulatory Organization (e.g., FINRA) for any of the following offenses:

- investments or investment related business;
- fraud, false statements or omissions;
- violation of any investment related statute or regulation or SRO rules;
- wrongful taking of property, bribery, perjury, forgery, counterfeiting;
- extortion or conspiracy to commit any of these offenses.

Mr. Vernon *is not* the subject of any pending matters in connection with the above-identified offenses.

### **3) Other Business Activities:**

Mr. Vernon is a Licensed Insurance Producer. Please refer to Stone Creek’s ADV Part 2A for more information regarding these activities.

### **4) Additional Compensation:**

Mr. Vernon does not receive additional compensation from non-clients for providing advisory services.

### **5) Supervision:**

Stone Creek requires that all investment advisors have acquired, at a minimum, a college degree and a designation relative to investments or retirement such as CERTIFIED FINANCIAL PLANNER™ (CFP®), Chartered Financial Analyst (CFA) or Chartered Retirement Planning Counselor (CRPC).

Tyler Vernon is responsible for the supervision of Stone Creek’s investment advisory representatives (IARs). Mr. Vernon’s supervision includes a review of correspondence, (e.g., email, letters), advertising, client accounts statements and such other documentation to ensure that Stone Creek’s IARs are conducting themselves in accordance with its compliance and supervisory procedures and applicable investment advisory rules and regulations.

## TIMOTHY J. RALPH, COO

### 1) **Educational and Business Background:**

Born: 1983

Education:

Drew University – B.A. Economics & Finance - 2006

Business Background:

Stone Creek Capital Management, LLC - Principal & Chief Operating Officer – 2018- Present

Stone Creek Capital Management, LLC - Vice President – 2017 – 2018

Biltmore Capital Advisors – Principal & Chief Operating Officer – 2018- Present

Biltmore Capital Advisors – Vice President & Portfolio Manager – 2009 – 2018

Citi Smith Barney – Registered Client Associate – 2007 – 2009

Morgan Stanley – Fixed Income Derivatives Product Group, ISD – 2006 - 2007

### 2) **Disciplinary Information:**

Mr. Ralph *has not been* convicted, pled guilty or nolo contendere (“no contest”), been named, charged or been the subject of any order or judgment by any court of competent jurisdictions, SEC or any Self-Regulatory Organization (e.g., FINRA) for any of the following offenses:

- investments or investment related business;
- fraud, false statements or omissions;
- violation of any investment related statute or regulation or SRO rules;
- wrongful taking of property, bribery, perjury, forgery, counterfeiting;
- extortion or conspiracy to commit any of these offenses.

Mr. Ralph *is not* the subject of any pending matters in connection with the above-identified offenses.

### 3) **Other Business Activities:**

Mr. Ralph is a Licensed Insurance Producer. Please refer to Stone Creek’s ADV Part 2A for more information regarding these activities.

### 4) **Additional Compensation:**

Mr. Ralph does not receive additional compensation from non-clients for providing advisory services.

### 5) **Supervision:**

Stone Creek requires that all investment advisors have acquired, at a minimum, a college degree and a designation relative to investments or retirement such as CERTIFIED FINANCIAL PLANNER™ (CFP®), Chartered Financial Analyst (CFA) or Chartered Retirement Planning Counselor (CRPC).

Tyler Vernon is responsible for the supervision of Stone Creek’s investment advisory representatives (IARs). Mr. Vernon’s supervision includes a review of correspondence, (e.g., email, letters), advertising, client accounts statements and such other documentation to ensure that Stone Creek’s IARs are conducting themselves in accordance with its compliance and supervisory procedures and applicable investment advisory rules and regulations.

## **ADAM D. RAUSCHER, Senior Financial Advisor**

### **1) Educational and Business Background:**

Born: 1980

Education:

Florida Atlantic University – B.A. Finance – 2004

Business Background:

Stone Creek Capital Management, LLC. – Senior Financial Advisor – 2018 – Present

Biltmore Capital Advisors, LLC. – Senior Financial Advisor – 2018 – Present

Thrivent Financial – Financial Advisor – 2005 – 2018

### **2) Disciplinary Information:**

Mr. Rauscher *has not been* convicted, pled guilty or nolo contendere (“no contest”), been named, charged or been the subject of any order or judgment by any court of competent jurisdictions, SEC or any Self-Regulatory Organization (e.g., FINRA) for any of the following offenses:

- investments or investment related business;
- fraud, false statements or omissions;
- violation of any investment related statute or regulation or SRO rules;
- wrongful taking of property, bribery, perjury, forgery, counterfeiting;
- extortion or conspiracy to commit any of these offenses.

Mr. Rauscher *is not* the subject of any pending matters in connection with the above-identified offenses.

### **3) Other Business Activities:**

Mr. Rauscher is a Licensed Insurance Producer. Please refer to Stone Creek’s ADV Part 2A for more information regarding these activities.

### **4) Additional Compensation:**

Mr. Rauscher does not receive additional compensation from non-clients for providing advisory services.

### **5) Supervision:**

Biltmore Capital requires that all investment advisors have acquired, at a minimum, a college degree and/or a designation relative to investments or retirement such as CERTIFIED FINANCIAL PLANNER™ (CFP®), Chartered Financial Analyst (CFA) or Chartered Retirement Planning Counselor (CRPC).

Tyler Vernon is responsible for the supervision of Stone Creek’s investment advisory representatives (IARs). Mr. Vernon’s supervision includes a review of correspondence, (e.g., email, letters), advertising, client accounts statements and such other documentation to ensure that Stone Creek’s IARs are conducting themselves in accordance with its compliance and supervisory procedures and applicable investment advisory rules and regulations.

## **JUSTIN D. ECCLESTON, Senior Investment Analyst**

### **6) Educational and Business Background:**

Born: 1986

Education:

University of Florida – B.A. Finance – 2008

Business Background:

Stone Creek Capital Management - Senior Investment Analyst – 2017 – Present  
Biltmore Capital Advisors – Senior Investment Analyst – 2014 – Present  
Merrill Lynch, Pierce, Fenner & Smith – Senior Financial Analyst – 2012 – 2014  
Wells Fargo Advisors – Private Banker & Brokerage Associate – 2011 – 2012  
Olympia Capital Markets Group – Registered Representative – 2008 – 2011

### **7) Disciplinary Information:**

Mr. Eccleston *has not been* convicted, pled guilty or nolo contendere (“no contest”), been named, charged or been the subject of any order or judgment by any court of competent jurisdictions, SEC or any Self-Regulatory Organization (e.g., FINRA) for any of the following offenses:

- investments or investment related business;
- fraud, false statements or omissions;
- violation of any investment related statute or regulation or SRO rules;
- wrongful taking of property, bribery, perjury, forgery, counterfeiting;
- extortion or conspiracy to commit any of these offenses.

Mr. Eccleston is *not* the subject of any pending matters in connection with the above-identified offenses.

### **8) Other Business Activities:**

Mr. Eccleston is not engaged in any other business activity

### **9) Additional Compensation:**

Mr. Eccleston does not receive additional compensation from non-clients for providing advisory services.

### **10) Supervision:**

Stone Creek requires that all investment advisors have acquired, at a minimum, a college degree and a designation relative to investments or retirement such as CERTIFIED FINANCIAL PLANNER™ (CFP®), Chartered Financial Analyst (CFA) or Chartered Retirement Planning Counselor (CRPC).

Tyler Vernon is responsible for the supervision of Stone Creek’s investment advisory representatives (IARs). Mr. Vernon’s supervision includes a review of correspondence, (e.g., email, letters), advertising, client accounts statements and such other documentation to ensure that Stone Creek’s IARs are conducting themselves in accordance with its compliance and supervisory procedures and applicable investment advisory rules and regulations.